

11/07/01

01-23-02

Approved for use through 10/31/2002. OMB 0651-0032

Please type a plus sign (+) inside this box ☐ [ + ]

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No.:	<b>4048-00100</b>
		First Inventor or Application Identifier	<b>Kechuan Liu, et al.</b>
		Title	<b>Method and Device for Cleaning Optical Connectors</b>
		Express Mail Label No.	<b>EL 921453301 US</b>

**I. APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g. PTO/SB17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See (Submit an original, and a duplicate for fee processing)
3. ☒ Specification [Total Pages]: **20**  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claims(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) Total Sheets: **2**
5. ☒ Oath or Declaration Total Pages: **2**  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C. FR 3.73(b) Statement [ ] Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:


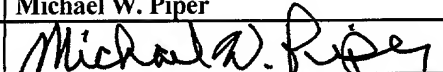
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

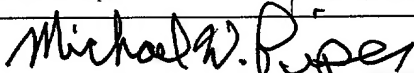
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19 CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label				or <input checked="" type="checkbox"/> Correspondence address below	
NAME	Jessica Smith				
ADDRESS	Alcatel				
1000 Coit Road, MS LEGL2					
CITY	Plano	STATE	Texas	ZIP CODE	75075
COUNTRY	USA	TELEPHONE	(972) 519-5103	FAX	(972) 477-9329
Name (Print Type)	Michael W. Piper		Registration No. (Attorney/Agent)		39,800
Signature			Date: November 7, 2001		

<b>FEE TRANSMITTAL</b> <b>FY 2002</b> Patent fees are subject to annual revision.		<b>Complete if Known</b>	
		Application Number	*
		Filing Date	
		First Named Inventor	Kechuan Liu, et al.
		Group Art Unit	*
		Examiner Name	*
TOTAL AMOUNT OF PAYMENT	(\$) <b>1,050.00</b>	Attorney Docket Number	4048-00100

<p style="text-align: center;"><b>METHOD OF PAYMENT</b></p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:          Deposit Account Number: 50-1515          Deposit Account Name: Conley, Rose &amp; Tayon, P.C.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Credit Card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <p style="text-align: center;"><b>FEE CALCULATION</b></p> <p><b>1. BASIC FILING FEE</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 740</td><td>201 370</td><td>Utility filing fee</td><td>\$740.00</td></tr> <tr><td>106 330</td><td>206 165</td><td>Design filing fee</td><td>\$</td></tr> <tr><td>107 510</td><td>207 255</td><td>Plant filing fee</td><td>\$</td></tr> <tr><td>108 740</td><td>208 370</td><td>Reissue filing fee</td><td>\$</td></tr> <tr><td>114 160</td><td>214 80</td><td>Provisional filing fee</td><td>\$</td></tr> <tr><td colspan="3" style="text-align: right;"><b>SUBTOTAL (1)</b></td><td><b>\$740.00</b></td></tr> </tbody> </table> <p><b>2. 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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>			
Name (Print/Type)	Michael W. Piper	Registration No. (Attorney/Agent)	39,800	Telephone	(972) 731-2288
Signature				Date 11/7/01	

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor :	<b>Kechuan Liu, et al.</b>
Title:	<b>Method and Device for Cleaning Optical Connectors</b>
Attorney Docket Number	<b>4048-00100</b>
Express Mail Label No.	<b>EL 921453301 US</b>

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

11/7/2001

Date

Michael W. Piper

Signature

Michael W. Piper

Registration Number 39,800

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

10545-1020T-695001